BARNOWL

BARNOWL ENTERPRISE RISK MANAGEMENT, COMPLIANCE & ASSURANCE

What's New in BarnOwl

Version 10

Version	Release Date
10.0.5	**31 October 2019**
Various stability and performance enhancements	

Version	Release Date
10.0.4	**18 October 2019**
Various stability and performance enhancements	

Version	Release Date
10.0.3	**11 October 2019**
Risk Management	
• Delete Preview added to Desktop Application	Removing or Deleting Items
Various stability and performance enhancements	

Version	Release Date
10.0.2	**4 October 2019**
Various stability and performance enhancements	

Version	Release Date
10.0.1	**27 September 2019**
Various stability and performance enhancements	

Version	Release Date
10.0.0	**19 September 2019**
Risk Management	ⓘ *Link to* Online Help

• New Library Views
  ▪ Objective Library
  ▪ Risk Library
  ▪ Contributing Factor Library
  ▪ Control Library
  ▪ Key Indicator Library
	Working with the Libraries

• New Library Forms for
  ▪ Objective
  ▪ Risk
  ▪ Contributing Factor
  ▪ Control
  ▪ Key Indicator
	Capturing a Library Item

• New View Linked Data (within Library) for
  ▪ Objective
  ▪ Risk
  ▪ Contributing Factor
  ▪ Control
  ▪ Key Indicator
	Viewing Linked Items

• Multi-Select for Delete
• Delete Previews available for
  ▪ Objective
  ▪ Risk
	Deleting Library Items

▪ Contributing Factor
     ▪ Control
     ▪ Key Indicator	

• New and Improved Merge functionality for
     ▪ Objective
     ▪ Risk
     ▪ Contributing Factor
     ▪ Control
     ▪ Key Indicator
	Merging Library Items

Reporting	
• New Custom Query Functionality	Custom Queries
Web Site	
• Newly Designed Website • New User Centric Landing Page • Seamless Favourite Views • Customisable Navigation Structure • Completely new User Interface • Better Performance • Simpler Document Management • Improved Custom Fields and Visibility Processing	BarnOwl Web
Various stability and performance enhancements	

Version	Release Date
9.1.2	**7 June 2019**
Various stability and performance enhancements	

Version	Release Date
9.1.1	**12 April 2019**
Risk Management	

• New Create/Link Forms
     ▪ Units to Risk Incident
     ▪ Risks to Risk Incident
     ▪ Contributing Factors to Risk Incident (Consequence)
• Improved Risk Incident Registers
	Create Link form

Various stability and performance enhancements	

Version	Release Date
9.1.0	**5 March 2019**
Various stability and performance enhancements	

Version	Release Date
9.0.9	**22 February 2019**
Various stability and performance enhancements	

Version	Release Date
9.0.8	**8 February 2019**
Various stability and performance enhancements	

Version	Release Date
9.0.7	25 January 2019
Internal Audit	
• Significantly improved Snapshot processing time	
Various stability and performance enhancements	

Version	Release Date
9.0.6	11 January 2019
Various stability and performance enhancements	

Version	Release Date
9.0.5	30 November 2018
Various stability and performance enhancements	

Version	Release Date
9.0.4	16 November 2018
Various stability and performance enhancements	

Version	Release Date
9.0.3	26 October 2018
Risk Management	*Link to* Online Help
• Eclipse Import now supports Combined Assurance	Eclipse Import Utility
• Compliance Risks Sub Category field will now reflect the linked Act name • IR vs RR Heat map now caters for non-linear scales • Combined Assurance history is now available	
Web Interface	
• Ability to upload documents against Combined Assurance custom fields	
Various stability and performance enhancements	

Version	Release Date
9.0.2	19 October 2018
Risk Management	*Link to* Online Help
• Dashboard Report now supports Process Library, Process Unit and Combined Assurance Custom Fields	Dashboard Report
• Excel reports are now generated in .XLSX	
• RM Process Capture Screen now supports Custom Fields • Combined Assurance Capture Screen now supports Custom Fields	Custom Fields
Internal Audit	
• Process Library and Process Unit Custom Fields are now visible within Audit	

• Process Library, Process Unit and Project Process Custom Fields added to the RM Report Builder	[Report Builder](#)
Server Management Console	
• Process Library and Process Unit Custom Fields added to the RM Report Builder	[Report Builder](#)

• Ability to create Custom Fields for
  ▪ Process Library
  ▪ Process Unit
  ▪ Combined Assurance
	[Custom Fields](#)

Web Interface	
• Combined Assurance Custom fields added • Action Plans can now be captured against Objectives on the Objective Register	
Various stability and performance enhancements	

Version	Release Date
9.0.1	**12 October 2018**
Risk Management	❓*Link to* [Online Help](#)
• Dashboard Report now support Unit Custom Fields	[Dashboard Report](#)
Server Management Console	
• RAP Fields added to RM Report Builder Objects	[Report Builder](#)
Various stability and performance enhancements	

Version	Release Date
9.0.0	**30 September 2018**
Risk Management	❓*Link to* [Online Help](#)

• All New Create/Link Action Plan Form
  ▪ Action Plans to Unit
  ▪ Action Plans to Process
  ▪ Action Plans to Objective
  ▪ Action Plans to Risk
  ▪ Action Plans to Contributing Factor
  ▪ Action Plans to Control
  ▪ Action Plans to KI
  ▪ Action Plans to Risk Incident
• All New Create/Link Key Indicator Form
  ▪ Key Indicators to Unit
  ▪ Key Indicators to Objective
  ▪ Key Indicators to Risk
  ▪ Key Indicators to Control
• All New Create/Link Risk Incident Form
  ▪ Risk Incidents to Unit
  ▪ Risk Incidents to Risk
• Risk Incident can now be linked to multiple Risks/Units
	[Create/Link Form](#)

• All New History Forms for…
  ▪ Units
  ▪ Processes
  ▪ Objectives
  ▪ Risks	

- Contributing Factors - Controls - Action Plans - Key Indicators - Risk Incidents - Documents - Linked Items	
- All New Risk Capture Form with ability to View Linked… - Units - Processes - Objectives - Parent Risks - Child Risks - Contributing Factors - Controls - Action Plans - Key Indicators - Risk Incidents	View Linked Items
- Control Factor Rationale field added to Risk Capture Form - Ability to manually flag a Risk for Reassessment	The Risk Form
All new Flat Key Indicator Register	BarnOwl Flat Registers
- All New Risk Assessment Feature - Risk Assessment Project Types - Risk Assessment Project Plans - Risk Assessment Project - Guided Workflow with the Risk Assessment Project Workbench - Delete and Undelete within Risk Assessment Projects	Risk Assessment Project
Server Management Console	
- Risk Assessment Reminder Emails - Planned Project Reminder - Project Initiation Reminder - Overdue Project Initiation Reminder - Project Completion Reminder - Overdue Project Completion Reminder	Risk Assessment Reminder Emails
- Risk Assessment Notification Emails - Project Assessment Notification - Project Reassessment Notification - Project Review Notification - Project Finalise Notification - Project Updated to ERM Notification	Risk Assessment Notification Emails
User Reassignment caters for Risk Assessment Users	Reassigning User Items
Ability to disable Risk Reassessment Trigger on Key Indicator Updates	
Web Interface	
Updated Key Indicator Capture Form	Key Indicator Form
GRC Warehouse	
Warehouse updated to include Risk Assessments Project	
Various stability and performance enhancements	

Version	Release Date
8.6.1	**29 March 2018**

Risk Management	❓*Link to* Online Help
• Action Plans added to Eclipse Import	Eclipse Import Utility
Server Management Console	
• IA Report Builder - Process Level field added to Process Table	Report Builder
Synchronisation	
• Speed enhancements	
Various stability and performance enhancements	

Version	Release Date
8.6.0	**20 February 2018**
Risk Management	❓*Link to* Online Help

• New Create/Link Objective Form
   ▪ Objective to Unit
   ▪ Objective to Process
   ▪ Objective to Template
• New Create/Link Risk Form
   ▪ Risk to Unit
   ▪ Risk to Process
   ▪ Risk to Template
   ▪ Risk to Objective
   ▪ Unit Risk to Unit Risk
• New Create/Link Contributing Factor Form
   ▪ Contributing Factor to Risk
• New Create/Link Control Form
   ▪ Control to Risk
   ▪ Control to Contributing Factor
	Create/Link Form

• Ability to generate Risk Management Custom Reports (RM Report Builder)	Report Builder

• Import Eclipse now supports:
   ▪ Contributing Factors
   ▪ Risk Incidents
	Eclipse Import Utility

Server Management Console	

• Risk Management Report builder introduced with support for the following items:
   ▪ Units
   ▪ Processes
   ▪ Objectives
   ▪ Risks
   ▪ Contributing Factors
   ▪ Controls
   ▪ Key Indicators
   ▪ Risk Incidents
	Report Builder

GRC Warehouse	

• Warehouse now supports the following Risk Management items
   ▪ Processes
   ▪ Objectives
   ▪ Risks
   ▪ Contributing Factors
   ▪ Controls
   ▪ Key Indicators
   ▪ Regulatory Requirements	

IDI Technology Solutions

▪ Voting (Rating votes; Excludes: Surveys, Questionnaires & Workshops)	
Various stability and performance enhancements	

Version	Release Date
8.5.3	**21 November 2017**
Various stability and performance enhancements	

Version	Release Date
8.5.2	**21 November 2017**
Web Interface	❓*Link to* Online Help
• Ability to assign a proxy voter on Control Rating Votes	Reassign a Proxy Vote
Server Management Control	

• Reassignment Wizard now caters for
  ▪ Key Indicator Owners
  ▪ Process Owners
  ▪ Unit Owners
  ▪ Default Owners (Unit)
  ▪ Voting Template Voter
  ▪ Voting Template Reviewer
  ▪ Voting Template User List Voter
  ▪ Voting Template User List Reviewer
  ▪ Workshop Action Plan Default Originator
	Reassigning User Items

Various stability and performance enhancements	

Version	Release Date
8.5.1	**21 November 2017**
Risk Management	❓*Link to* Online Help
• Ability to compare history records on Objective, Risk and Control History Screens	Viewing Item History
• Objective and Control added to Eclipse Import	Eclipse Import Utility
Web Interface	
• Ability to view the Impact Value Matrix	Viewing the Impact Value Matrix in BarnOwl Lite
Various stability and performance enhancements	

Version	Release Date
8.5.0	**07 November 2017**
Risk Management	❓*Link to* Online Help
• Ability to setup impact value matrix by category per unit	Setting Risk Impact Values per Unit
• Ability to view the Flat Impact Value Register	Viewing the Flat Impact Value Register

• Ability to upload a Risk Model per unit	[Loading a Risk Model per Unit](#)
• Ability to view the impact value matrix via the Model button in the Risk screen	[Risk Form Buttons](#)
• Custom field history added to Objective, Risk and control history screens	[Viewing Item History](#)
Server Management Console	
• Ability to setup a default impact value matrix by category	[Impact Value Matrix](#)
• Ability to process and set custom field friendly values	[Updating Custom Field Friendly Values](#)
Various stability and performance enhancements	

Version	Release Date
8.4.0	**27 September 2017**
Risk Management	❓*Link to* [Online Help](#)
• Ability to create Object-specific custom fields for ▪ Risk ▪ Objective ▪ Control ▪ Contributing factor objects	[About Item vs Unit, Process and Template Custom Fields](#)
• Object-specific description introduced to Risks, Objective, Controls and Contributing Factor	[Risk](#) [Objective](#) [Control](#) [Contributing Factor](#)
• BarnOwl Registers enhanced to show Object-specific custom fields and descriptions	[The BarnOwl Register](#)s
• UI improvements to Risk, Objective, Control and Contributing Factor Capture Forms	
• Introduced the new Import Utility, supporting: ▪ Risk ▪ Key Indicators	[The Import Utility](#)
Web Interface	
• UI improvements to Risk, Objective, Control and Contributing Factor Capture Forms	[Risk](#) [Objective](#) [Control](#) [Contributing Factor](#)
Server Management Console	
• Ability to setup Object-specific custom fields for ▪ Risk ▪ Objective ▪ Control ▪ Contributing factor objects	[Custom Fields](#)
• Introduced the ability to change between Risk Rating Methodologies	[About Risk Rating Methodologies](#)
• Introduced Custom Risk Rating Methodology	[Setting a Rating Methodology](#)
Various stability and performance enhancements	

Version	Release Date
8.3.1	**23 June 2017**

Risk Management	❓ *Link to* Online Help
• Custom field colours added to all flat registers	Flat Registers
• Control ratings prompt removed	
Internal Audit	
• Documents linked to audit procedure results now visible in audit objective screen	Audit Objectives
• Auto findings can be unlinked from audit procedure results	Audit Procedure Results
• Finding icon added to control effectiveness rating to act as a prompt for finding capture on audit objective screen.	Audit Objectives
• IA sample size warning now visible on all audit procedure registers.	Audit Procedure Results
• Audit procedure result quick capture screen displays sample size warning when required sample size is not met.	Audit Procedure Results
Web Interface	
• Reviewer now able to access votes or surveys even when all voters have not finalised, between vote end date and reviewer end date.	Reviewing a Vote
Server Management Console	
• User and Group reports now use user selection screen	Selecting Users
• System User and Group reports updated	
• Move category utility has been updated and includes workshops, audit procedures and custom fields	Move Category Utility
Various stability and performance enhancements	

Version	Release Date
8.3.0	**31 May 2017**
Risk Management	❓ *Link to* Online Help
• Updated unit selection screen when generating reports	Selecting Units
• No longer able to capture duplicate Objectives, Risks & Controls.	About Duplicates
• New and improved user & group selection screen	Selecting Users
• Impact combo box added to the quantitative tabs on the Risk Capture screen	Capturing Risks
• Ability to include description on bow-tie report has been added	
• Occurrence date on Risk Incidents can no longer be future dated	Capturing Risk Incidents
• Historic control adequacy ratings added to risk reassessment screen	Automatic Risk Reassessment
• Quarterly recurrence option has been added	Specifying Recurrence Settings
Internal Audit	
• Word documents uploaded to BarnOwl will no longer lose track changes	
Web Interface	
• Controls linked to multiple processes will show all process paths on Control Rating votes	Voting
Server Management Console	
• Enhanced colour picker	Setting Colour Options
• Reassignment wizard now uses the new user selection screen	Reassigning Items
Various stability and performance enhancements	

Version	Release Date
8.2.2	**07 March 2017**
Risk Management	❓*Link to* Online Help
• Apply wizard now allows for destination custom field data to be overwritten	Applying Processes
Internal Audit	
• Audit procedure test results table added to the IA Report Builder	IA Report Builder
Synchronisation	
• Synchronisation performance has been enhanced	
Web Interface	
• Numeric combo boxes now show the value and description	
Server Management Console	
• Global switch added to send Reviewer assignment email	Voting Emails
Various stability and performance enhancements	

Version	Release Date
8.2.1	**11 January 2017**
Internal Audit	❓*Link to* Online Help
• Custom fields are now loaded into the Audit Objective Capture Screen grids	
Web Interface	
• All process will be displayed in Control Ratings Vote when control is linked to multiple processes	Completing a Vote
Various stability and performance enhancements	

Version	Release Date
8.2.0	**11 October 2016**
Risk Management	❓Link to Online Help
• Ability to select control by process on control ratings vote	Selecting Processes in Control Votes
• Item owners field now included on all flat registers	Flat Registers
• Preference added to show/hide a flat register's item view by default	Show/Hide Item View in Flat Registers
• New risk register import (BETA) utility	
Internal Audit	
• Improved performance and usability on unit and process selection screens	Select Units Select Processes
Web Interface	
• Ability to toggle archived units on KI register	Viewing Archived Units in BarnOwl Web Interface
Server Management Console	

• Default register action can now be set independently for risk, control and audit procedure registers	
• Preference added to open audit objective register by default when loading a project	User Preferences
General	
• Improved UI for messages, queries, warning and error screens	About System Messages in BarnOwl
• New BarnOwl document extraction utility available on request	
Various stability and performance enhancements	

Version	Release Date
8.1.2	**31 August 2016**
Risk Management	❓*Link to* Online Help
• Option to select Global or Local view in Flat Registers	Flat Registers
Audit Management	
• Audit Universe renamed to Process Tree	Process Tree
Various stability and performance enhancements	

Version	Release Date
8.1.1	**15 July 2016**
Risk Management	❓*Link to* Online Help
• Risk flat view register now available	Flat Risk Register
• Control flat view register now available	Flat Control Register
• Contributing factor flat view register now available	Flat Contributing Factor Register
• Action plan flat view register now available	Flat Action Plan Register
• Objective flat view register now available	Flat Objective Register
• Following screens are now maximisable - Risk Capture - Contributing Factor Capture - History Screens	
Synchronisation	
• Improved error message feedback	Synch Error Message
Web Interface	
• Ability to vote on Control Adequacy only	Control Adequacy Only Vote
• Ability to open control details on Control Rating Votes	View Control Details During Vote
Various stability and performance enhancements	

Version	Release Date
8.1.0	**24 May 2016**
Risk Management	❓*Link to* Online Help

Version	Release Date
8.1.0	**24 May 2016**
• Ability to create voting template user lists based on the organizational structure.	Voting Template User List
• Risk incident flat view register now available.	Flat Risk Incident Register
• Process details added to risk dashboard report.	BarnOwl Dashboard Report
• Resource type field now available on owner tables.	
• Ability to moderate action plans captured through web voting.	Moderating Action Plans
Internal Audit	
• Documents attached to audit procedures can now be viewed on the audit objective screen.	Audit Objective Documents
• Ability to automatically check-out/in documents linked to Audit Procedure Results.	Check In/Out
Server Management Console	
• Configurable times for windows service.	Email Setup

• Custom email templates for action plans
  ▪ Administrators are able to capture custom email templates.
  ▪ Administrators can specify default email templates for new action plans based on what the action plan is being captured against.
  ▪ Action plan templates may be overridden on the action plan screen.
  ▪ Field level permissions apply.
	Custom Email Setup
	
	Custom Email Defaults

• Ability to set persistent fields (first name, last name and email address) for user profiles so that this is not overwritten when the Active Directory is synched	Persistent Fields in User Profiles
• Vote reviewers have a different notification email.	Reviewer Assignment Email Reviewer Notification Email
• Progress notes option now available on action plan emails.	
• Switch added to allow action plan linked object information to be opened on the Web Interface action plan register	View Linked Objects Switch
Web Interface	
• Save & Close available on capture screens.	
• Dynamic validation as you vote on control ratings votes.	
• Ability to open action plan linked objects from the action plan register.	View Action Plan Linked Objects
• Opening a finalized vote now takes you to the results screen. Option to undo finalize vote is available.	Undo Finalize Vote
• Ability to link to existing action plans on control ratings votes.	Linking Action Plans
• Supporting evidence and action plan information added to control ratings vote results screen.	Adding Supporting Evidence
Various stability and performance enhancements	

Version	Release Date
8.0.1	**29 February 2016**
Risk Management	❓*Link to* Online Help
• Ability to automatically calculate risk impact values based on quantitative value.	Risk Impact Values
• Key Indicator register added in the Process Tree.	
Internal Audit	
• Report Builder: ▪ Risks with Findings table added – ability to view findings linked via risks and controls	Report Builder

▪ Expenses added to project costing table	
• Performance enhancements (speed) to the following registers: ▪ All checked out items ▪ My checked out items ▪ All Flagged Items ▪ Quick Prepare/Review/Sign off	
• Control rating values added to the Audit Content screen	
Server Management Console	
• Reassign Items – Risk Incidents added to the Reassignment wizard	[Reassign Items](#)
Web Interface	
• Error logger and reader – ability to download log file in event of errors.	
Various stability and performance enhancements	

Version	Release Date
8.0.0	**28 January 2016**
Risk Management	*Link to* [Online Help](#)
- User Experience enhancements: - Capture screens to open in edit mode by default - Ability to "Save & Close" in one click - Ability to disable "Save Successfully" messages	[Disabling Save messages](#)
- Performance Enhancements: - Speed enhancements for risk and control registers	
Internal Audit	
Performance enhancements to the Findings register	
Web Interface	
Capture screens open in edit mode by default	
Various stability and performance enhancements	

Version	Release Date
7.7.0	**29 February 2016**
Risk Management	❓*Link to* Online Help
- Action Plans: - Ability to set recurrence patterns on Action Plans. An Action Plan with a recurrence setting enabled will automatically recreate itself and send notifications to the relevant owners based on the recurrence rules - Ability to link an action plan to more than one item, across business units. - Current Status Summary field length increased - Ability to set colours against Action Plan Statuses	Action Plan Recurrence settings Linking Action Plans Setting Action Plan Status Colours
- Key Indicators - Ability to set different colour threshold settings per target interval. A 'default' colour setting can be set up and applied to the different intervals at once - Calculation Type set on a KRI/KPI. Values can be calculated as: - Most recent - Average - Cumulative total - When inputting a value, the relevant target that the value is input against can be selected. This allows backdating KI values. - A description can be added when adding a KI value - Addition of KPIs and KRIs on the Process register - Addition of KPIs and KRIs on the Global Action Plan register	Key Indicator Enhancements KIs in the Process and Template Libraries
- Toggle added to Audit Alignment screen to hide projects which have already been aligned to ERM - Risk quantitative impact values increased to handle values up to 1 000 000 000 000.	
- Filters added to Questionnaire report - Separate end date for vote preparers vs vote reviewers on Surveys and CSA votes	Separate End Dates
Objective register drills down to all levels of detail. (in the past, hierarchy would stop at the risk level)	
- KRI/KPIs: - Ability to import historic KRI/KPI input values - Ability to view a flat view of all KRI/KPI input values	Importing KI Values KI Input Value Register
Internal Audit	
- Report Builder contains the following new fields: - Action Plan Start Date - Action Plan Extension Request details	Using the Report Builder
- Questionnaires and Surveys can be set up on the Template PFO and brought through into an audit. At the appropriate time, the auditor can activate the survey / questionnaire and send it to the relevant recipients - Option to default the finding owners to the owner of the item that the finding is linked to. For example, when raising a finding against a control, the finding owner can be set to automatically default to the control owner.	Capturing Surveys in Audit Automatically Assign Owners
Web Interface	

Version	Release Date
7.7.0	**29 February 2016**
- Ability to capture and maintain Key Indicators in BarnOwl Lite - Colour of disabled fields is darkened for all browsers (except Internet Explorer) - Long survey titles no longer overlap the buttons in the title bar	Key Indicators in BarnOwl Lite
Server Management Console	
- Ability to move contributing factors, risk incidents, key indicators and findings to a new category in bulk via the move category utility. This is in addition to the existing 'move category' utility that existed for risks previously - Ability to include custom fields in automated emails	Move Category Utility
Various stability and performance enhancements	